

**Committee:** Performance Select Committee

**Agenda Item**

**Date:** 27 July 2010

**9**

**Title:** Corporate Risk Register 2010/11  
Quarter 1 Review

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Item for information

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## Summary

1. This report presents the council's revised corporate risk register to the committee and provides quarter 1 status details for all identified risks.

## Recommendations

- That members review the revised corporate risk register for 2010/11 and pass comments on its content and presentation where necessary.
- That the committee reviews the quarter 1 risk updates following an assessment by the Strategic Management Board (SMB) and considers any further action to be taken.

## Background Papers

2. The following papers were referred to by the author in the preparation of this report and are available for inspection from the author upon request.
  - Corporate Plan 2009-2012 Uttlesford District Council
  - Improvement Plan Uttlesford District Council
  - Annual Governance Statement Uttlesford District Council

## Impact

- 3.

|                            |   |
|----------------------------|---|
| Communication/Consultation | Continued internal communication around new drive on risk management required through team meetings   |
| Community Safety           | None  |
| Equalities                 | Equalities issues can be integrated into risk management programme where appropriate. Equalities and Diversity is a theme of the Corporate Plan and so will |

|                                 |   |
|---------------------------------|---|
|                                 | appear in the new Corporate Risk Register                     |
| Finance                         | None  |
| Health and Safety               | Health and safety risks can be incorporated where appropriate |
| Human Rights/Legal Implications | None  |
| Sustainability                  | None  |
| Ward-specific impacts           | None  |
| Workforce/Workplace             | None  |

### Situation

- 4.1 All of the risks detailed have been identified through the UDC 2010/11 service planning process. Since the transfer of responsibility from Internal Audit for Risk Management, members of the BI&P Team have worked with SMB to develop a more streamlined and relevant risk management process and subsequent corporate risk register.
- 4.2 Through this exercise there has been a marked improvement in the join-up of the authority's performance management information with clear links being identified from corporate through to an operational level.
- 4.3 The revised register (see Appendix 1 attached) shows the status of all newly identified/confirmed risks 2010/11 at the end of quarter 1 (30.06.10).
- 4.4 A review of risk status was conducted with SMB members where by all associated mitigating actions were examined and progress against them noted. Where applicable risk scorings were also adjusted. It is intended that this review process be adopted each quarter.
- 4.5 For this meeting all current corporate risks are being reported regardless of risk scoring. It is proposed that for all future meetings only corporate risks with a score threshold above 9 will be reported to PSC as previously agreed with the committee. No operational risks will be reviewed at this level.

### Risk Analysis

- 5 The following have been assessed as the potential risks associated with this issue:

| Risk                      | Likelihood        | Impact                   | Mitigating actions                        |
|---------------------------|-------------------|--------------------------|---|
| That the council fails to | 2 – The remaining | 3 – The council fails to | A clear plan has been established showing |

|  |   |   |  |
|--|---|---|--|
| <p>demonstrate it has made the necessary improvements in the area of risk management by the time of the next Use of Resources inspection</p> | <p>steps that are required can be achieved in the timescale set out.</p>  | <p>move off a level 1 for risk management</p>   | <p>what the council needs to do now in order to complete its risk management review and bring in new, improved processes.</p>  |
| <p>Risks are not properly identified or managed with the result that preventable problems occur</p>  | <p>2 –the existing operational risk registers are burdensome; the slimmed down operational registers , coupled with the training Heads of Division have received, will ensure a focus on the key risks affecting services</p> | <p>3 – The council may fail to effectively manage the most likely risks or those with the highest impact due to the current weight of information contained within risk registers. The new registers will ensure a focus on the key issues.</p> | <p>New approach coupled with training for all those with responsibility for risk management will ensure a corporate approach which focuses on the real risks facing services and the authority. The adoption of a new risk strategy and appetite that are linked to both the council’s corporate priorities and budget is designed to address risk management in a simpler and more streamlined way.</p> |

- 1 = Little or no risk or impact
- 2 = Some risk or impact – action may be necessary.
- 3 = Significant risk or impact – action required
- 4 = Near certainty of risk occurring, catastrophic effect or failure of project.